

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David C. Parkes et al.

Docket: YOR9-2001-0531 (8728-535)

Serial No.: 09/918,990

Filed: July 31, 2001

For: **A METHOD FOR COMPUTING PAYMENT DISCOUNTS TO ACHIEVE  
BUDGET-BALANCE IN EXCHANGES**

Assistant Commissioner for Patents  
Washington, DC 20231


RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date  
Granted, dated September 6, 2001, Applicant encloses herewith a properly executed original  
Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63 along with a copy of  
the Notice to File Missing Parts of Application - Filing Date Granted.

Please charge the required fee of \$130.00 to cover the surcharge under 37  
C.F.R. §1.16(e) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event  
any additional extensions of time are required, please treat this paper as a petition to extend the  
time as required and charge Deposit Account No. 50-0510/IBM. **TWO (2) COPIES OF  
THIS SHEET ARE ENCLOSED.**

Respectfully submitted,


  
\_\_\_\_\_  
Frank Chau  
Registration No. 34,136  
Attorney for Applicant(s)

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first  
class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C.  
20231 on October 17, 2001.

Dated: 10/17/01

  
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Frank Chau



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/918,990	07/31/2001	David C. Parkes	YOR9-2001-531 (8728-535)

CONFIRMATION NO. 3806

## FORMALITIES LETTER



\*OC000000006515849\*

Frank Chau  
F. CHAU & ASSOCIATES, LLP  
Suite 501  
1900 Hempstead Turnpike  
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Date Mailed: 09/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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